# On the hydrostatic StOKES APPROXIMATION WITH NON HOMOGENEOUS DIRICHLET CONDITIONS 

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#### Abstract

We deal with the hydrostatic Stokes approximation with non homogeneous Dirichlet boundary conditions. While investigated the homogeneous case, we build a shifting operator of boundary values related to the divergence operator, and solve the non homogeneous problem in a domain with sidewalls.


Keywords: Hydrostatic approximation, De Rham's lemma, shifting operator, primitive equations, non homogeneous Dirichlet conditions.
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## §1. Introduction

Let us consider $\Omega \subset \mathbb{R}^{3}$ a bounded domain defined by

$$
\begin{equation*}
\Omega=\left\{x=\left(x^{\prime}, x_{3}\right) \in \mathbb{R}^{3} \mid x^{\prime} \in \omega \text { and }-h\left(x^{\prime}\right)<x_{3}<0\right\}, \tag{1}
\end{equation*}
$$

where $\omega \subset \mathbb{R}^{2}$ is a bounded Lipschitz-continuous domain and $h$, defined in $\omega$, is a mapping satisfying the following assumption.
Assumption 1. The mapping $h$ is positive and Lipschitz-continuous on $\omega$. Besides, there is a constant $\alpha>0$ such that

$$
\begin{equation*}
\inf _{x^{\prime} \in \omega} h\left(x^{\prime}\right) \geqslant \alpha \tag{2}
\end{equation*}
$$

Therefore, $\Omega$ has a Lipschitz-continuous boundary $\Gamma$ splitted into three parts, each one with a positive measure: the surface $\Gamma_{S}$, the bottom $\Gamma_{B}$, and sidewalls $\Gamma_{L}$, defined by:

$$
\begin{aligned}
& \Gamma_{S}=\omega \times\{0\}, \quad \Gamma_{B}=\left\{\left(x^{\prime},-h\left(x^{\prime}\right)\right) \mid x^{\prime} \in \omega\right\} \\
& \Gamma_{L}=\left\{x \in \mathbb{R}^{3} \mid x^{\prime} \in \partial \omega \text { and }-h\left(x^{\prime}\right)<x_{3}<0\right\} .
\end{aligned}
$$

Finally, we denote by $\boldsymbol{n}$ the unit external vector normal to $\Gamma$. Below, the drawing of the domain $\Omega$.

Let $\boldsymbol{f}^{\prime}=\left(f_{1}, f_{2}\right): \Omega \rightarrow \mathbb{R}^{2}, \Phi: \Omega \rightarrow \mathbb{R}$, and $\boldsymbol{g}=\left(\boldsymbol{g}^{\prime}, g_{3}\right): \Gamma \rightarrow \mathbb{R}^{3}$ be given functions, $\Phi$ and $\boldsymbol{g}$ satisfying adequate compatibility conditions (see (7)). In this paper, we study the hydrostatic Stokes approximation consisting in seeking $\boldsymbol{u}: \Omega \rightarrow \mathbb{R}^{3}$ and $p: \omega \rightarrow \mathbb{R}$

$$
(\mathcal{S H})\left\{\begin{array}{rrrl}
-\Delta \boldsymbol{u}^{\prime}+\nabla^{\prime} p=\boldsymbol{f}^{\prime}, & \partial_{3} p=0, & \nabla \cdot \boldsymbol{u}=\Phi & \text { in } \Omega, \\
& \boldsymbol{u}^{\prime}=\boldsymbol{g}^{\prime}, & u_{3} n_{3}=g_{3} & \text { on } \Gamma .
\end{array}\right.
$$

Here $\nabla^{\prime}=\left(\partial_{x_{1}}, \partial_{x_{2}}\right)$ denotes the gradient operator with respect to the variables $x_{1}$ and $x_{2}$.
When $\Phi$ and $g_{3}$ are identically equal to 0 , some authors have considered $(\mathcal{S H})$ as a reduced Stokes-type system. Indeed, let us consider the case of homogeneous conditions. The simplifications of $(\mathcal{S H})$ come from the hydrostatic pressure hypothesis:

$$
\begin{equation*}
\frac{\partial p}{\partial x_{3}}=0 \text { in } \Omega \tag{3}
\end{equation*}
$$

ensuring that $p_{S}$, the pressure at $x_{3}=0$, is in fact the real unknown. Moreover, by integrating with respect to $x_{3}$ the incompressibility equation:

$$
\begin{equation*}
\nabla \cdot \boldsymbol{u}=0 \text { in } \Omega \tag{4}
\end{equation*}
$$

and taking into account the boundary conditions over $u_{3}$, it appears that the vertical velocity $u_{3}$ is given by the horizontal velocity $\boldsymbol{u}^{\prime}$. In this case, the equations of $(\mathcal{S H})$ can be reduced to the following system:

$$
\left\{\begin{align*}
-\Delta \boldsymbol{u}^{\prime}+\nabla^{\prime} p_{S}=\boldsymbol{f}^{\prime} & \text { in } \Omega,  \tag{5}\\
\nabla^{\prime} \cdot \int_{-h\left(x^{\prime}\right)}^{0} \boldsymbol{u}^{\prime}\left(x^{\prime}, x_{3}\right) d x_{3}=0 & \text { in } \omega, \\
\boldsymbol{u}^{\prime}=0 & \text { on } \Gamma .
\end{align*}\right.
$$

Then, we get back to $u_{3}$ and the global pressure $p$ by setting

$$
\begin{equation*}
x \in \Omega, \quad u_{3}(x)=\int_{x_{3}}^{0} \nabla^{\prime} \cdot \boldsymbol{u}^{\prime}\left(x^{\prime}, \xi\right) d \xi, \quad p(x)=p_{S}\left(x^{\prime}\right) \tag{6}
\end{equation*}
$$

However, studying (5) yields real difficulties when the mapping $h$ vanishes on $\partial \omega$. Previous works dealing with (5) use assumption (2). Weak solutions to (5) was investigated in [5, 4]. Results of [5, 4] are then reviewed in [3], where the author deals with some models close to (5).

The purpose of the paper is to present a proof of the following thoerem, in a simplified case. The complete proof is given in [1]. Before, we introduce the space

$$
X=H^{1}(\Omega)^{2} \times H\left(\partial_{x_{3}}, \Omega\right)
$$

and its hilbertian norm $\|\boldsymbol{u}\|_{X}=\left(\left\|\boldsymbol{u}^{\prime}\right\|_{H^{1}(\Omega)^{2}}^{2}+\left\|u_{3}\right\|_{H\left(\partial_{x_{3}}, \Omega\right)}^{2}\right)^{1 / 2}$, where $H\left(\partial_{x_{3}}, \Omega\right)$ is defined in Subsection 2.2.
Theorem 2. Assume assumption (2). Let $\boldsymbol{f}^{\prime} \in H^{-1}(\Omega)^{2}, \Phi \in L^{2}(\Omega), \boldsymbol{g}^{\prime} \in H^{1 / 2}(\Gamma)^{2}$ and $g_{3} \in L^{2}(\Gamma)$ such that $g_{3}=0$ on $\Gamma_{L}$, and satisfying the following compatibility condition:

$$
\begin{equation*}
\int_{\Gamma} \boldsymbol{g}^{\prime} \cdot \boldsymbol{n}^{\prime} d \sigma+\int_{\Gamma} g_{3} d \sigma=\int_{\Omega} \Phi d x \tag{7}
\end{equation*}
$$

Then, there is a unique pair $(\boldsymbol{u}, p) \in X \times\left(L^{2}(\Omega) / \mathbb{R}\right)$ solution to Problem $(\mathcal{S H})$ and satisfying the estimate,

$$
\begin{equation*}
\|\boldsymbol{u}\|_{X}+\|p\|_{L^{2}(\Omega) / \mathbb{R}} \leqslant C\left\{\left\|\boldsymbol{f}^{\prime}\right\|_{H^{-1}(\Omega)^{2}}+\|\Phi\|_{L^{2}(\Omega)}+\left\|\boldsymbol{g}^{\prime}\right\|_{H^{1 / 2}(\Gamma)^{2}}+\left\|g_{3}\right\|_{L^{2}(\Gamma)}\right\} \tag{8}
\end{equation*}
$$

where $C>0$ is a constant depending only on $\Omega$.

The outline of the paper is as follows. In Section 2 we set the appropriate functional framework. In particular, we recall the definition and structure of the anisotropic space $H\left(\partial_{x_{3}}, \Omega\right)$, which is the adapted space for $u_{3}$. Moreover, we introduce the usual integration operators $M$ and $F$ (see (14) and (15)), useful in our study, to provide an adapted lemma of De Rham (see Lemma 7). Finally, we prove Theorem 2 in Section 3.

## §2. Functional framework

We assume the reader to be familiar with the classical notations and properties of Lebesgue and Sobolev spaces on a regular open set.

### 2.1. Computations of surface integrals

For any function $\mu: \Gamma \rightarrow \mathbb{R}$, we define the functions $\mu_{S}$ or $(\mu)_{S}$ and $\mu_{B}$ or $(\mu)_{B}$ by setting

$$
x^{\prime} \in \omega, \quad \mu_{S}\left(x^{\prime}\right)=\mu\left(x^{\prime}, 0\right), \quad \mu_{B}\left(x^{\prime}\right)=\mu\left(x^{\prime},-h\left(x^{\prime}\right)\right) .
$$

We start with an important tool which enables us to replace any integrals defined on $\Gamma_{S}$ and $\Gamma_{B}$ by one defined on $\omega$.
Lemma 3. The mapping $\mu \mapsto\left(\mu_{S}, \mu_{B}\right)$ is linear and continuous from $L^{2}(\Gamma)$ into $L^{2}(\omega)^{2}$. Moreover, one has by definition of the measure $d \sigma$ :

$$
\begin{equation*}
\int_{\Gamma_{S}} \mu d \sigma=\int_{\omega} \mu_{S} d x^{\prime} \quad \text { and } \quad \int_{\Gamma_{B}} \mu d \sigma=\int_{\omega} \mu_{B} \sqrt{1+|\nabla h|^{2}} d x^{\prime} . \tag{9}
\end{equation*}
$$

Proof. This result follows from straightforward calculating.
Remark 1. Notice that the integrals in (9) are well defined since $\omega$ is bounded. Next, the third component of the normal $n_{3}$ satisfies $n_{3}=1$ on $\Gamma_{S}, n_{3}=0$ on $\Gamma_{L}$ and $\left(n_{3}\right)_{B}\left(1+|\nabla h|^{2}\right)^{1 / 2}=-1$ on $\omega$. Moreover, $\left(n_{i}\right)_{B}\left(1+|\nabla h|^{2}\right)^{1 / 2}=-\partial_{x_{i}} h$ in $\omega$. Therefore,

$$
\begin{align*}
\forall \mu \in L^{2}(\Gamma), \quad \int_{\Gamma} \mu n_{3} d \sigma & =\int_{\omega} \mu_{S} d x^{\prime}-\int_{\omega} \mu_{B} d x^{\prime} .  \tag{10}\\
\int_{\Gamma_{B}} \mu n_{i} d \sigma & =-\int_{\omega} \mu \frac{\partial h}{\partial x_{i}} d x^{\prime} . \tag{11}
\end{align*}
$$

### 2.2. The anisotropic space $H\left(\partial_{x_{3}}, \Omega\right)$

Let us recall here some useful results that can be found in [6]. Set

$$
H\left(\partial_{x_{3}}, \Omega\right)=\left\{u \in L^{2}(\Omega) \left\lvert\, \frac{\partial u}{\partial x_{3}} \in L^{2}(\Omega)\right.\right\}
$$

which is a Hilbert space endowed with norm $\|u\|_{H\left(\partial_{x_{3}}, \Omega\right)}=\left(\|u\|_{L^{2}(\Omega)}^{2}+\left\|\partial_{x_{3}} u\right\|_{L^{2}(\Omega)}^{2}\right)^{1 / 2}$. For any $u \in H\left(\partial_{x_{3}}, \Omega\right)$, we have $u n_{3} \in H^{-1 / 2}(\Gamma)$. Then, setting

$$
H_{0}\left(\partial_{x_{3}}, \Omega\right)=\left\{u \in L^{2}(\Omega) \left\lvert\, \frac{\partial u}{\partial x_{3}} \in L^{2}(\Omega)\right. \text { and } u n_{3}=0\right\},
$$

the following Green's formula holds

$$
\begin{equation*}
\forall u \in H\left(\partial_{x_{3}}, \Omega\right), \forall v \in H_{0}\left(\partial_{x_{3}}, \Omega\right), \quad \int_{\Omega} u \frac{\partial v}{\partial x_{3}} d x=-\int_{\Omega} v \frac{\partial u}{\partial x_{3}} d x \tag{12}
\end{equation*}
$$

as well as the Poincare's Inequality

$$
\begin{equation*}
\forall u \in H_{0}\left(\partial_{x_{3}}, \Omega\right), \quad\|u\|_{L^{2}(\Omega)} \leqslant\|h\|_{L^{\infty}(\omega)}\left\|\frac{\partial u}{\partial x_{3}}\right\|_{L^{2}(\Omega)} . \tag{13}
\end{equation*}
$$

### 2.3. Definition and properties of the operators $M$ and $F$.

Let $u$ be a function defined in $\Omega$. We consider the following operators

$$
\begin{array}{cl}
x^{\prime} \in \omega, \quad M u\left(x^{\prime}\right)=\int_{-h\left(x^{\prime}\right)}^{0} u\left(x^{\prime}, x_{3}\right) d x_{3}, \\
x=\left(x^{\prime}, x_{3}\right) \in \Omega, \quad F u(x)=\int_{x_{3}}^{0} u\left(x^{\prime}, \xi\right) d \xi, \quad G u(x)=\int_{-h\left(x^{\prime}\right)}^{x_{3}} u\left(x^{\prime}, \xi\right) d \xi . \tag{15}
\end{array}
$$

Proposition 4. The operator $M$ is linear and continuous from $L^{2}(\Omega)$ into $L^{2}(\omega)$, and from $H^{1}(\Omega)$ into $H^{1}(\omega)$. Then, one has for $i=1,2$ :

$$
\begin{array}{ll}
\forall u \in H^{1}(\Omega), & \frac{\partial}{\partial x_{i}}(M u)=M\left(\frac{\partial u}{\partial x_{i}}\right)+\frac{\partial h}{\partial x_{i}} u_{B} \text { in } \omega ; \\
\forall u \in H_{0}^{1}(\Omega), & \frac{\partial}{\partial x_{i}}(M u)=M\left(\frac{\partial u}{\partial x_{i}}\right) \text { in } \omega . \tag{17}
\end{array}
$$

Moreover, the following relation holds:

$$
\begin{equation*}
\forall u \in H_{0}\left(\partial_{x_{3}}, \Omega\right), \quad M\left(\frac{\partial u}{\partial x_{3}}\right)=0 \text { in } \omega . \tag{18}
\end{equation*}
$$

Proof. Let $u \in L^{2}(\Omega)$. By applying Fubini's Theorem, we deduce that $M u \in L^{2}(\omega)$ and $\|M u\|_{L^{2}(\omega)} \leqslant\|h\|_{L^{\infty}(\omega)}\|u\|_{L^{2}(\Omega)}$. Therefore, the mapping $M$ is linear and continuous from $L^{2}(\Omega)$ into $L^{2}(\omega)$. Next, for $u$ in $H^{1}(\Omega)$ and $i=1,2$, one has for any $\psi \in \mathcal{D}(\omega)$ :

$$
\int_{\omega} M u \frac{\partial \psi}{\partial x_{i}} d x^{\prime}=\int_{\Omega} u \frac{\partial \psi}{\partial x_{i}} d x=-\int_{\Omega} \frac{\partial u}{\partial x_{i}} \psi d x+\int_{\Gamma} u \psi n_{i} d \sigma .
$$

Then, (11) gives

$$
\begin{equation*}
\int_{\Gamma_{B}} u \psi n_{i} d \sigma=-\int_{\omega} u_{B} \psi \frac{\partial h}{\partial x_{i}} d x^{\prime}, \tag{19}
\end{equation*}
$$

since $\psi$ does not depend on $x_{3}$ and since $\psi=0$ on $\Gamma_{L}$. Thus

$$
\int_{\omega} M u \frac{\partial \psi}{\partial x_{i}} d x^{\prime}=-\int_{\omega}\left[M\left(\frac{\partial u}{\partial x_{i}}\right)+u_{B} \frac{\partial h}{\partial x_{i}}\right] \psi d x^{\prime} .
$$

Thus (16) holds in $\mathcal{D}^{\prime}(\omega)$. From Proposition 3 and the fact that $h$ is Lipschitz-continuous, (16) holds in $L^{2}(\omega)$. The same arguments prove that $M$ is a linear mapping from $H^{1}(\Omega)$ in $H^{1}(\omega)$. When $u$ belongs to $H_{0}^{1}(\Omega)$, the function $u_{B}$ vanishes on $\omega$. Therefore, we get (17). Finally, (18) follows from a computation using relation (12).

Proposition 5. The operator $F$ is linear and continuous from $L^{2}(\Omega)$ into $L^{2}(\Omega)$ and $G$ is the adjoint operator to $F$. Next, the operator $F$ is continuous from $L^{2}(\Omega)$ into $H\left(\partial_{x_{3}}, \Omega\right)$, and

$$
\begin{equation*}
\forall u \in L^{2}(\Omega), \quad \frac{\partial}{\partial x_{3}}(F u)=-u \text { in } \Omega . \tag{20}
\end{equation*}
$$

Moreover, the following relation holds:

$$
\begin{equation*}
\forall u \in H_{0}\left(\partial_{x_{3}}, \Omega\right), \quad F\left(\frac{\partial u}{\partial x_{3}}\right)=-u \text { in } \Omega . \tag{21}
\end{equation*}
$$

Proof. Let $u \in L^{2}(\Omega)$. Thanks to Fubini's Theorem, we deduce that $F u \in L^{2}(\Omega)$ and from Poincaré's Inequality we have $\|F u\|_{L^{2}(\Omega)} \leqslant\|h\|_{\infty}\|u\|_{L^{2}(\Omega)}$ by. Hence $F$ is linear and continuous from $L^{2}(\Omega)$ into $L^{2}(\Omega)$. Again Fubini's Theorem ensures that

$$
\begin{equation*}
\forall u, v \in L^{2}(\Omega), \quad \int_{\Omega} v F u d x=\int_{\Omega} u G v d x . \tag{22}
\end{equation*}
$$

Next, (22) gives that for any $\varphi \in \mathcal{D}(\Omega)$,

$$
\int_{\Omega} \frac{\partial \varphi}{\partial x_{3}} F u d x=\int_{\Omega} u G\left(\frac{\partial \varphi}{\partial x_{3}}\right) d x=\int_{\Omega} u \varphi d x
$$

Hence (20) holds in $\mathcal{D}^{\prime}(\Omega)$ and $\partial_{x_{3}}(F u) \in L^{2}(\Omega)$. Moreover, we deduce from above that the operator $F$ is continuous from $L^{2}(\Omega)$ into $H\left(\partial_{x_{3}}, \Omega\right)$. Finally, we use the same arguments as above and relation (12) to prove (21).

Remark 2. Let $u \in H^{1}(\Omega)$ and $\varphi \in \mathcal{D}(\Omega)$. Thanks to Proposition 5 and (10), one gets:

$$
\begin{aligned}
\int_{\Omega} G\left(\frac{\partial u}{\partial x_{3}}\right) \varphi d x & =\int_{\Omega} u \varphi d x+\int_{\Gamma_{S} \cup \Gamma_{B}} u n_{3} F \varphi d \sigma \\
& =\int_{\Omega} u \varphi d x+\int_{\omega} u_{S}(F \varphi)_{S} d x^{\prime}-\int_{\omega} u_{B}(F \varphi)_{B} d x^{\prime}
\end{aligned}
$$

By observing that $(F \varphi)_{S}=0$ and $(F \varphi)_{B}=M \varphi$ in $\omega$, one has

$$
\int_{\Omega} G\left(\frac{\partial u}{\partial x_{3}}\right) \varphi d x=\int_{\Omega} u \varphi d x-\int_{\Omega} u_{B} \varphi d x,
$$

which provides that,

$$
\begin{equation*}
\forall u \in H^{1}(\Omega), \quad G\left(\frac{\partial u}{\partial x_{3}}\right)=u-\widetilde{u_{B}} \text { in } \Omega . \tag{23}
\end{equation*}
$$

We conclude this subsection by giving additional properties on $M$ and $F$. Precisely, we prove the following relation between the operators $M$ and $F$.
Proposition 6. Let $u \in L^{2}(\Omega)$. Then, the following assertions are equivalent:
(i) $M u=0$ in $L^{2}(\omega)$.
(ii) $(F u) n_{3}=0$ in $H^{-1 / 2}(\Gamma)$.

Proof. Given $u \in L^{2}(\Omega)$, Proposition 5 ensure that $(F u) n_{3}$ is in $H^{-1 / 2}(\Gamma)$. Next, (23) gives for any $v \in H^{1}(\Omega)$ :

$$
\begin{aligned}
\left\langle(F u) n_{3}, v\right\rangle_{H^{-1 / 2}(\Gamma), H^{1 / 2}(\Gamma)}=\int_{\Omega} \frac{\partial v}{\partial x_{3}} F u d x-\int_{\Omega} u v d x & =\int_{\Omega} u G\left(\frac{\partial v}{\partial x_{3}}\right) d x-\int_{\Omega} u v d x \\
& =\int_{\Omega} u\left(v-\widetilde{v_{B}}\right) d x-\int_{\Omega} u v d x .
\end{aligned}
$$

Therefore, one obtains a relation between $F$ and $M$ :

$$
\begin{equation*}
\forall(u, v) \in L^{2}(\Omega) \times H^{1}(\Omega), \quad\left\langle(F u) n_{3}, v\right\rangle=-\int_{\omega} v_{B} M u d x^{\prime}, \tag{24}
\end{equation*}
$$

which proves that (i) implies (ii). Conversely, for any $\psi$ in $\mathcal{D}(\omega)$ and applying (24) with $v=\psi$, we get

$$
\int_{\omega} \psi M u d x^{\prime}=\int_{\omega} v_{B} M u d x^{\prime}=-\left\langle(F u) n_{3}, v\right\rangle=0 .
$$

Then (ii) implies (i): this completes the proof of Proposition 6.

### 2.4. Some properties related to the mean divergence operator

For any vector field $\boldsymbol{v}=\left(v_{1}, v_{2}, v_{3}\right)$, we define

$$
\nabla^{\prime} \cdot M \boldsymbol{u}^{\prime}=\sum_{i=1,2} \partial_{x_{i}}\left(M u_{i}\right)
$$

and the corresponding space $\boldsymbol{V}_{M}=\left\{\boldsymbol{v}^{\prime} \in H_{0}^{1}(\Omega)^{2} \mid \nabla^{\prime} \cdot M \boldsymbol{v}^{\prime}=0\right.$ in $\left.\omega\right\}$.
Lemma 7. If $f^{\prime} \in H^{-1}(\Omega)^{2}$ satisfies

$$
\forall \boldsymbol{v}^{\prime} \in \boldsymbol{V}_{M}, \quad\left\langle\boldsymbol{f}^{\prime}, \boldsymbol{v}^{\prime}\right\rangle_{H^{-1}(\Omega)^{2}, H_{0}^{1}(\Omega)^{2}}=0
$$

then, there is $q \in L^{2}(\omega) / \mathbb{R}$ such that $\nabla^{\prime} \widetilde{q}=f^{\prime}$ in $\Omega$. Moreover, there is a constant $C>0$ depending only on $\Omega$ such that

$$
\begin{equation*}
\|q\|_{L^{2}(\omega) / \mathbb{R}} \leqslant C\|\nabla \bar{q}\|_{H^{-1}(\Omega)} . \tag{25}
\end{equation*}
$$

Proof. Let us set $\boldsymbol{f}=\left(\boldsymbol{f}^{\prime}, 0\right)$. Let $\boldsymbol{v} \in H_{0}^{1}(\Omega)^{3}$ such that $\nabla \cdot \boldsymbol{v}=0$. Thanks to (17) and (18) one has $\boldsymbol{v}^{\prime} \in \boldsymbol{V}_{M}$. Therefore, using results from [2] from pages 22-25, there is a unique function $p$ in $L^{2}(\Omega) / \mathbb{R}$ such that $\nabla p=f$. Then, since $\partial_{x_{3}} p=0$ in $\Omega$, there is $q \in L^{2}(\omega) / \mathbb{R}$, such that $p=\widetilde{q}$ in $\Omega$. Thus $q$ satisfies $\nabla^{\prime} \widetilde{q}=f^{\prime}$ in $\Omega$.

## §3. Resolution of Problem $(\mathcal{S H})$ with homogeneous Dirichlet conditions

Proposition 8. Let $\boldsymbol{f}^{\prime} \in L^{2}(\Omega)^{2}$ and assume that $\Phi$ and $\boldsymbol{g}$ are identically equal to 0 . Then, Problem $(\mathcal{S H})$ has a at least solution $(\boldsymbol{u}, p)$ in the space $\boldsymbol{X} \times\left(L^{2}(\Omega) / \mathbb{R}\right)$.

Proof. Let us consider the solution $(\boldsymbol{u}, p)$ related to the data $\boldsymbol{f}^{\prime}=0$. We multiply the first equation of $(\mathcal{S H})$ by $\boldsymbol{u}^{\prime}$. Then, using (12) and since $\nabla \cdot \boldsymbol{u}=0$ and $\partial_{x_{3}} p=0$ in $\Omega$, one has

$$
\int_{\Omega} \nabla \boldsymbol{u}^{\prime}: \nabla \boldsymbol{u}^{\prime} d x=\int_{\Omega} p \nabla^{\prime} \cdot \boldsymbol{u}^{\prime} d x=-\int_{\Omega} p \frac{\partial u_{3}}{\partial x_{3}} d x=\int_{\Omega} u_{3} \frac{\partial p}{\partial x_{3}} d x=0 .
$$

Therefore $\nabla \boldsymbol{u}^{\prime}=0$ in $\Omega$ and, since $\Omega$ is connected, $\boldsymbol{u}^{\prime}=0$ in $\Omega$. As $\nabla \cdot \boldsymbol{u}=0$ in $\Omega$, we deduce that $\partial_{x_{3}} u_{3}=0$ in $\Omega$, and from the inequality (13) we get $u_{3}=0$ in $\Omega$. Next, since $\nabla^{\prime} p=\Delta \boldsymbol{u}^{\prime}=0$ in $\Omega$, one obtains that $\nabla p=0$ in $\Omega$, hence $p=0$ in $\Omega$. Finally, the solution related to the data $\boldsymbol{f}^{\prime}=0$ is $\boldsymbol{u}=0$ and $p=0$, which proves that Problem $(\mathcal{S H})$ has at least one solution in $X \times\left(L^{2}(\Omega) / \mathbb{R}\right)$.

Theorem 9. Let $\boldsymbol{f}^{\prime}$ in $H^{-1}(\Omega)^{2}$ and assume that $\Phi$ and $\boldsymbol{g}$ are identically equal to 0 . Then, Problem $(\mathcal{S H})$ has a unique solution $(\boldsymbol{u}, p)$ in the space $\boldsymbol{X} \times\left(L^{2}(\Omega) / \mathbb{R}\right)$. Moreover, there is a constant $C>0$ such that

$$
\begin{equation*}
\left\|\boldsymbol{u}^{\prime}\right\|_{H^{1}(\Omega)^{2}}+\left\|u_{3}\right\|_{H\left(\partial_{x_{3}}, \Omega\right)}+\|p\|_{L^{2}(\Omega) / \mathbb{R}} \leqslant C\left\|\boldsymbol{f}^{\prime}\right\|_{H^{-1}(\Omega)^{2}} \tag{26}
\end{equation*}
$$

To prove Theorem 9, we need Lemma 7 and the proposition stated below.
Lemma 10. Let $\boldsymbol{u}=\left(\boldsymbol{u}^{\prime}, u_{3}\right)$ with $\boldsymbol{u}^{\prime}$ in $H_{0}^{1}(\Omega)^{2}$ and $u_{3}$ in $H\left(\partial_{x_{3}}, \Omega\right)$. Then the following assertions are equivalent
(i) $\nabla \cdot \boldsymbol{u}=0$ in $\Omega, \quad u_{3} n_{3}=0$ in $H^{-1 / 2}(\Gamma)$.
(ii) $\nabla^{\prime} \cdot\left(M \boldsymbol{u}^{\prime}\right)=0$ in $\omega, \quad u_{3}=F\left(\nabla^{\prime} \cdot \boldsymbol{u}^{\prime}\right)$ in $\Omega$.

Proof. Assume that (i) holds. Then, (18) and (21) yield

$$
M\left(\nabla^{\prime} \cdot \boldsymbol{u}^{\prime}\right)=0 \quad \text { and } \quad u_{3}=F\left(\nabla^{\prime} \cdot \boldsymbol{u}^{\prime}\right)
$$

Moreover, thanks to (17) one has $M\left(\nabla^{\prime} \cdot \boldsymbol{u}^{\prime}\right)=\nabla^{\prime} \cdot M \boldsymbol{u}^{\prime}$, from which follows (ii). Conversely, one has by $(20), \nabla \cdot \boldsymbol{u}=0$. Since $M\left(\nabla^{\prime} \cdot \boldsymbol{u}^{\prime}\right)=0$, Proposition 6 ensures that $n_{3} F\left(\nabla^{\prime} \cdot \boldsymbol{u}^{\prime}\right)=0$ in $H^{-1 / 2}(\Gamma)$. Hence $u_{3} n_{3}=0$ in $H^{-1 / 2}(\Gamma)$.

From Lemma 10 and the fact that $p$ does not depend on $x_{3}$, solving Problem $(\mathcal{S H})$ reduces to solve the following problem:

$$
\text { Find }\left(\boldsymbol{u}^{\prime}, p_{S}\right) \in H_{0}^{1}(\Omega)^{2} \times\left(L^{2}(\omega) / \mathbb{R}\right) \text { such that: }
$$

$$
\left\{\begin{align*}
-\Delta \boldsymbol{u}^{\prime}+\nabla^{\prime} p_{S}=\boldsymbol{f}^{\prime} & \text { in } \Omega  \tag{27}\\
\nabla^{\prime} \cdot M \boldsymbol{u}^{\prime}=0 & \text { in } \omega \\
\boldsymbol{u}^{\prime}=0 & \text { on } \Gamma .
\end{align*}\right.
$$

We get back to $p$ and $u_{3}$ thanks to (6). The existence and uniqueness of the solution to (27) is given by the following proposition.

Proposition 11. Let $\boldsymbol{f}^{\prime}$ in $H^{-1}(\Omega)^{2}$. There is a unique solution $\left(\boldsymbol{u}^{\prime}, p_{S}\right)$ in the space $H_{0}^{1}(\Omega)^{2} \times$ $\left(L^{2}(\omega) / \mathbb{R}\right)$ to Problem (27). Moreover, there is a constant $C>0$ such that

$$
\begin{equation*}
\left\|\boldsymbol{u}^{\prime}\right\|_{H^{1}(\Omega)^{2}}+\left\|p_{S}\right\|_{L^{2}(\omega) / \mathbb{R}} \leqslant C\left\|\boldsymbol{f}^{\prime}\right\|_{H^{-1}(\Omega)^{2}} . \tag{28}
\end{equation*}
$$

Proof. Any solution $\left(\boldsymbol{u}^{\prime}, p_{S}\right)$ in the space $H_{0}^{1}(\Omega)^{2} \times\left(L^{2}(\omega) / \mathbb{R}\right)$ satisfies the following variational formulation:

$$
\begin{equation*}
\forall \boldsymbol{v}^{\prime} \in \boldsymbol{V}_{M}, \int_{\Omega} \nabla \boldsymbol{u}^{\prime}: \nabla \boldsymbol{v}^{\prime} d x=\left\langle\boldsymbol{f}^{\prime}, \boldsymbol{v}^{\prime}\right\rangle_{H^{-1}(\Omega)^{2}, H_{0}^{1}(\Omega)^{2}} \tag{29}
\end{equation*}
$$

Conversely, any solution $\boldsymbol{u}^{\prime} \in \boldsymbol{V}_{M}$ to (29) is such that

$$
\forall \boldsymbol{v}^{\prime} \in \boldsymbol{V}_{M}, \quad\left\langle-\Delta \boldsymbol{u}^{\prime}-\boldsymbol{f}^{\prime}, \boldsymbol{v}^{\prime}\right\rangle_{H^{-1}(\Omega)^{2}, H_{0}^{1}(\Omega)^{2}}=0 .
$$

Therefore, Lemma 7 provides a unique $p_{S}$ in $\left(L^{2}(\omega) / \mathbb{R}\right)$ such that $\left(\boldsymbol{u}^{\prime}, p_{S}\right)$ is a solution to (27). Then, by Lax-Milgram's lemma, there is a unique $\boldsymbol{u}^{\prime}$ in $\boldsymbol{V}_{M}$ satisfying (29) and $\left\|\nabla \boldsymbol{u}^{\prime}\right\|_{L^{2}(\Omega)} \leqslant$ $C\left\|\boldsymbol{f}^{\prime}\right\|_{H^{-1}(\Omega)^{2}}$, hence $\left\|\boldsymbol{u}^{\prime}\right\|_{H^{1}(\Omega)^{2}} \leqslant C\left\|\boldsymbol{f}^{\prime}\right\|_{H^{-1}(\Omega)^{2}}$ by Poincaré's Inequality, where $C>0$ denotes is a constant depending only on $\Omega$. To finish, we deduce (28) from (25) since

$$
\left\|p_{S}\right\|_{L^{2}(\omega) / \mathbb{R}} \leqslant C\left\|\nabla \widetilde{p_{S}}\right\|_{L^{2}(\Omega)} \leqslant C\left\|\boldsymbol{f}^{\prime}\right\|_{H^{-1}(\Omega)^{2}}
$$

Thanks to Proposition 11 and Lemma 10, $(\mathcal{S H})$ admits a unique solution $(\boldsymbol{u}, p) \in X \times$ $\left(L^{2}(\Omega) / \mathbb{R}\right)$. Combining results from Proposition 11 and Proposition 5, we get (8). This complete the proof of Theorem 8.

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