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# On time-harmonic Maxwell's equations in Lipschitz and Multiply-connected domains of $I\!\!R^3$ .

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#### Abstract

In this paper we deal with time-harmonic Maxwell's equations in Lipschitz and multiply connected bounded regions of  $\mathbb{I}\!R^3$ . We prove the wellposedness of the current source problem by means of an appropriate compact operator.

**Keywords**: time-harmonic Maxwell's equations, curl-curl systems, vector potentials, resonance, non-smooth domains.

AMS Classification:

### 1 Preliminaries.

The harmonic magnetic field  $\boldsymbol{H}$  in a cavity  $\Omega$  of  $\boldsymbol{I}\!R^3$  is described by curl-curl system

$$\operatorname{curl} \left( \epsilon^{-1} \operatorname{curl} \boldsymbol{u} \right) - \omega^{2} \mu \boldsymbol{u} = \operatorname{curl} \left( \epsilon^{-1} \boldsymbol{j} \right),$$
  
div  $(\mu \boldsymbol{u}) = 0.$  (1)

where  $\boldsymbol{j}$  is the imposed source of electric current density. The parameters  $\epsilon$  and  $\mu$  refer to the premittivity and the permeability of the medium. For a perfect conducting boundary  $\partial\Omega$ , the magnetic field satisfies the boundary condition

$$\mu \boldsymbol{u}.\boldsymbol{n}|_{\partial\Omega} = 0. \tag{2}$$

Note that the electric field is given by  $\boldsymbol{E} = (i\omega\epsilon)^{-1}(\operatorname{curl}\boldsymbol{u} - \boldsymbol{j})$ . When the domain is smooth, the analysis of the time harmonic Maxwell's equations has been carried through successfully by means of the Maxwell operator (see, e. g., [7], [3]). However, when the

domain is non-smooth, namely if  $\Omega$  contains inward edges and corners, the treatment of time-harmonic Maxwell's equations involves some serious complications. This is due mainly to the appearance of singularities near these corners and edges (see [2]).

The purpose of this paper is to treat the current source problem (1)+(2) in a non-smooth and multiply connected domains of  $\mathbb{I}R^3$ . The approach we use for solving (1) is based on a formulation of this problem in terms of an adequate compact vector potential operator.

Let  $\Omega$  be a bounded open set of  $\mathbb{I}\!R^3$  and denote by  $\partial\Omega$  its boundary. We assume that  $\Omega$  is Lipschitz-continuous and that its boundary  $\partial\Omega$  is the union of p+1 connected components  $\Gamma_0,...,\Gamma_p$  where  $\Gamma_0$  is the boundary of the only unbounded connected component of  $\mathbb{I}\!R^3/\Omega$ . Note that p = 0 when  $\partial\Omega$  is connected. We assume also that  $\Omega$  is connected but not necessarily simply-connected. If  $\Omega$  is multiply-connected, we suppose that there exists m smooth surfaces  $\Sigma_1, ..., \Sigma_m$  ("cuts") such that

- 1. For any  $i \in \{1, ..., m\}$ ,  $\Sigma_i$  is an open part of a smooth manifold  $\mathcal{M}_i$ .
- 2. For any  $i \in \{1, ..., m\}$ , the boundary of  $\Sigma_i$  is contained in  $\partial \Omega$ .
- 3. The intersection  $\bar{\Sigma}_i \cap \bar{\Sigma}_j$  is empty if  $i \neq j$ .
- 4. The open set  $\overset{\circ}{\Omega} = \Omega / \bigcup_{i=1}^{m} \Sigma_i$  is simply connected and pseudo-Lipschitz<sup>1</sup>.

By convention, we set m = 0 when  $\Omega$  is simply-connected. In the sequel we denote by (.,.) the scalar product in  $L^2(\Omega)$ . For any  $i \leq m$ ,  $H^{1/2}(\Sigma_i)$  is the space of restrictions to  $\Sigma_i$  of the distributions belonging to  $H^{\frac{1}{2}}(\mathcal{M}_i)$  and  $H^{1/2}(\Sigma_i)'$  is its dual space. Now, consider the spaces

$$\begin{aligned} H(\operatorname{div}; \Omega) &= \{ \boldsymbol{v} \in L^2(\Omega)^3 \mid \operatorname{div} \boldsymbol{v} \in L^2(\Omega) \}, \\ H(\operatorname{curl}; \Omega) &= \{ \boldsymbol{v} \in L^2(\Omega)^3 \mid \operatorname{curl} \boldsymbol{v} \in L^2(\Omega)^3 \}, \end{aligned}$$

equipped with the usual norms  $\|\boldsymbol{v}\|_{H(\operatorname{div}; \Omega)}$  and  $\|\boldsymbol{v}\|_{H(\operatorname{curl}; \Omega)}$ . We recall the following properties of these spaces

1. Let  $\boldsymbol{v} \in H(\text{div}; \Omega)$ . Then,  $\boldsymbol{v}$  has a normal component  $\boldsymbol{v}.\boldsymbol{n}$  in  $H^{-1/2}(\partial\Omega)$  and the following Green's formula holds

$$\forall \varphi \in H^1(\Omega), \ (\boldsymbol{v}, \nabla \varphi) = -(\operatorname{div} \boldsymbol{v}, \varphi) + \langle \boldsymbol{v}. \boldsymbol{n}, \varphi \rangle_{\partial \Omega}.$$
(3)

Moreover, for any  $i \in \{1, ..., m\}$ ,  $\boldsymbol{v}$  has also a normal component  $\boldsymbol{v}.\boldsymbol{n}$  in  $H^{1/2}(\Sigma_i)'$ and (see [1], Lemma 3.10):

$$\forall \theta \in H^{1}(\overset{\circ}{\Omega}), \quad \int_{\overset{\circ}{\Omega}} \boldsymbol{v}.\nabla \theta d\boldsymbol{x} + \int_{\overset{\circ}{\Omega}} (\operatorname{div} \boldsymbol{v}) \, \theta d\boldsymbol{x} = \sum_{i=1}^{m} \langle \boldsymbol{v}.\boldsymbol{n}, [\theta]_{i} \rangle_{\Sigma_{i}}, \tag{4}$$

where  $[\theta]_i$  denotes the jump of  $\theta$  through  $\Sigma_i$ .

<sup>&</sup>lt;sup>1</sup>see [1] for the definition.

2. Similarly, if  $\boldsymbol{v} \in H(\operatorname{curl}; \Omega)$ , then  $\boldsymbol{v}$  has a tangential component  $\boldsymbol{v} \times \boldsymbol{n}$  in  $H^{-1/2}(\partial \Omega)^3$ and the following Green's formula holds

$$\forall \boldsymbol{w} \in H^1(\Omega)^3, \ (\operatorname{\mathbf{curl}} \boldsymbol{v}, \boldsymbol{w}) = (\boldsymbol{v}, \operatorname{\mathbf{curl}} \boldsymbol{w}) + \langle \boldsymbol{v} \times \boldsymbol{n}, \boldsymbol{w} \rangle_{\partial \Omega}.$$
(5)

Observe that this formula remains valid if  $\boldsymbol{w} \in H(\operatorname{curl}; \Omega)$  and  $\boldsymbol{v} \in H_0(\operatorname{curl}; \Omega)$ .

Consider also the following subspaces of  $H(\text{div}; \Omega)$  and  $H(\text{curl}; \Omega)$ :

$$\begin{aligned} H_0(\operatorname{div};\,\Omega) &= \{ \boldsymbol{v} \in H(\operatorname{div};\,\Omega) \mid \boldsymbol{v}.\boldsymbol{n} = 0 \text{ on } \Gamma \}, \\ H_0(\operatorname{curl};\,\Omega) &= \{ \boldsymbol{v} \in H(\operatorname{curl};\,\Omega) \mid \boldsymbol{v} \times \boldsymbol{n} = 0 \text{ on } \Gamma \}. \end{aligned}$$

We introduce now the spaces

$$Y_T(\Omega) = H_0(\operatorname{div}; \Omega) \cap H(\operatorname{curl}; \Omega),$$
  
$$Y_N(\Omega) = H(\operatorname{div}; \Omega) \cap H_0(\operatorname{curl}; \Omega),$$

equipped with the norm  $\|\boldsymbol{v}\|_{Y} = (\|\boldsymbol{v}\|_{0,\Omega}^{2} + \|\operatorname{div}\boldsymbol{v}\|_{0,\Omega}^{2} + \|\operatorname{curl}\boldsymbol{v}\|_{0,\Omega}^{2})^{1/2}$ , and we set

$$G_T = \{ \boldsymbol{v} \in Y_T(\Omega) | \operatorname{div} \boldsymbol{v} = 0, \operatorname{\mathbf{curl}} \boldsymbol{v} = \mathbf{0} \},$$
  
$$G_N = \{ \boldsymbol{v} \in Y_N(\Omega) | \operatorname{div} \boldsymbol{v} = 0, \operatorname{\mathbf{curl}} \boldsymbol{v} = \mathbf{0} \}$$

**Lemma 1** ([4], [1]). The spaces  $G_T$  and  $G_N$  are finite dimensional and dim  $G_T = m$ , dim  $G_N = p$ . Moreover, there exists a basis  $(\mathbf{q}_i)_{i=1,...,m}$  (resp.  $(\mathbf{f}_i)_{i=1,...,p}$ ) of  $G_T$  (resp. of  $G_N$ ) such that:

$$\forall i, j \in \{1, ..., m\} \qquad \langle \boldsymbol{q}_i.\boldsymbol{n}, 1 \rangle_{\Sigma_j} = \delta_{i,j}, \quad \forall i, j \in \{1, ..., p\} \qquad \langle \boldsymbol{f}_i.\boldsymbol{n}, 1 \rangle_{\Gamma_j} = \delta_{i,j}. \tag{6}$$

We shall denote by  $\mathcal{P}_T$  (resp.  $\mathcal{P}_N$ ) the orthogonal projection from  $Y_T(\Omega)$  (resp. from  $Y_N(\Omega)$ ) on  $G_T$  (resp. on  $G_N$ ) with respect to inner product associated with the norm  $\|.\|_Y$ . It is worth noting that

$$\mathcal{P}_N oldsymbol{v} = \sum_{i=1}^m \langle oldsymbol{v}.oldsymbol{n},1
angle_{\Sigma_i}oldsymbol{q}_i$$

for any  $\boldsymbol{v} \in L^2(\Omega)^3$  such that div  $\boldsymbol{v} = 0$  (see [4], [1]).

Lemma 2 ([4], [1]). The mapping

$$oldsymbol{v} \longrightarrow |oldsymbol{v}|_{Y_T(\Omega)} = (\|\mathrm{div}\,oldsymbol{v}\|_{0,\Omega}^2 + \|\mathbf{curl}\,oldsymbol{v}\|_{0,\Omega}^2 + \sum_{i=1}^m |\langle oldsymbol{v}.oldsymbol{n},1
angle_{\Sigma_i}|^2)^{1/2},$$

is a norm on the space  $Y_T(\Omega)$  equivalent to the norm  $\|.\|_Y$ . Similarly, the mapping  $\boldsymbol{v} \longrightarrow |\boldsymbol{v}|_{Y_N(\Omega)} = (\|\operatorname{div} \boldsymbol{v}\|_{0,\Omega}^2 + \|\operatorname{curl} \boldsymbol{v}\|_{0,\Omega}^2 + \sum_{i=1}^p |\langle \boldsymbol{v}.\boldsymbol{n}, 1\rangle_{\Gamma_i}|^2)^{1/2}$ , is a norm on the space  $Y_N(\Omega)$  equivalent to the norm  $\|.\|_Y$ .

In the sequel, we set

$$\alpha_0 = \inf_{\boldsymbol{v} \in Y_T(\Omega), \ \boldsymbol{v} \neq \boldsymbol{0}} \frac{|\boldsymbol{v}|_{Y_T(\Omega)}}{\|\boldsymbol{v}\|_{0,\Omega}}.$$
(7)

Then, according to Lemma 2, we have  $\alpha_0 > 0$ .

### 1.1 Statement of the problem. The main result.

Let us consider the system: given  $\mathbf{j} \in L^2(\Omega)^3$ , we look for  $\mathbf{u} \in Y_T(\Omega)$ 

$$\operatorname{curl}\operatorname{curl}\boldsymbol{u} - k^2\boldsymbol{u} = \operatorname{curl}\boldsymbol{j},\tag{8}$$

$$\operatorname{div} \boldsymbol{u} = 0, \tag{9}$$

$$\operatorname{curl} \boldsymbol{u} \times \boldsymbol{n}|_{\partial \Omega} = \boldsymbol{j} \times \boldsymbol{n}, \tag{10}$$

where k is the wave number given by  $k = \sqrt{\epsilon \mu} \omega$  with  $\epsilon$  and  $\mu$  supposed non-negative and constants. Observe that the boundary condition (10) is meaningfull if  $\mathbf{j} \in H(\mathbf{curl}, \Omega)$ (thus  $\mathbf{curl} \mathbf{u} \in H(\mathbf{curl}, \Omega)$ ). If  $\mathbf{j}$  belongs only to  $L^2(\Omega)^3$ , we interpret the problem (8)-(10) in a weaker form; a vector field  $\mathbf{u}$  in  $Y_T(\Omega)$  is called a *generalized* or a *weak* solution of (8)-(10) if it satisfies

$$(\operatorname{\mathbf{curl}} \boldsymbol{u}, \operatorname{\mathbf{curl}} \boldsymbol{v}) + \gamma(\operatorname{div} \boldsymbol{u}, \operatorname{div} \boldsymbol{v}) + \delta(\mathcal{P}_T \boldsymbol{u}, \mathcal{P}_T \boldsymbol{v}) - k^2(\boldsymbol{u}, \boldsymbol{v}) = (\boldsymbol{j}, \operatorname{\mathbf{curl}} \boldsymbol{v}), \ \forall \boldsymbol{v} \in Y_T(\Omega), \ (11)$$

where  $\gamma$  and  $\delta$  are two nonnegative real constants. The following proposition state the relationship between the weak problem (11) and the continuous problem (8):

**Proposition 1.** Let  $\mathbf{j} \in L^2(\Omega)^3$  and suppose that k > 0 and that  $\gamma$  and  $\delta$  are such that:  $\gamma > 0, \ \delta > 0$  and

$$\frac{k^2}{\gamma} \notin EV(\Delta^{neu}), \ \frac{k^2}{\delta} \neq 1,$$
(12)

where  $EV(\Delta^{neu})$  is the set of eigenvalues of the Laplace operator with an homogenous Neumann condition. Then, any solution of (11) satisfies (8) and (9) in the sense of distributions. Moreover, if **j** belongs to  $H(\mathbf{curl}; \Omega)$ , then the problems (11) and (8)-(10) are equivalent.

When the wave number k is smaller than the parameter  $\alpha_0$  defined by (7), the existence and the uniqueness of solutions of (11) stem immediately from Lax-Milgram theorem. Here, we treat the problem (11) when k is not necessarily small. We state the following

**Theorem 1.** Assume that  $\mathbf{j} \in L^2(\Omega)^3$  and that (12) is fullfilled. Then, there exists a countable sequence of real values  $\{\alpha_i, i \in \mathbb{N}\}$ , tending to  $+\infty$  such that

- 1. If  $k \notin \{\alpha_i, i \in \mathbb{N}\}$  then (11) admits one and only one solution  $\boldsymbol{u} \in Y_T(\Omega)$ .
- 2. If  $k = \alpha_m$  for some  $m \in \mathbb{N}$ , then the homogeneous problem (when  $\mathbf{j} = \mathbf{0}$ ) admits a finite dimensional space  $E_m$  of solutions, and (11) is solvable in  $Y_T(\Omega)$  iff

$$(\mathbf{j}, \operatorname{\mathbf{curl}} \boldsymbol{\varphi}) = 0, \quad \forall \boldsymbol{\varphi} \in E_m.$$
 (13)

If this condition is fulfilled, the solution of (11) is unique up to elements of  $E_m$ .

We state also the following regularity results when the domain has a smooth boundary and when it is a parallelepiped (as involved by pseudo-spectral and spectral methods). Note that the general case of a polygonal domain contains some technical complications, due to the appearance of the singularities, and which are beyond the scope of this paper (see, e. g., [2]) (observe that the inclusion  $Y_T(\Omega) \subset H^1(\Omega)^3$  does not hold in general).

**Corollary 1.** Assume that  $\Omega$  is of class  $\mathcal{C}^{m,1}$  with  $m \geq 2$  and let  $\mathbf{j} \in L^2(\Omega)^3$  such that

$$\operatorname{curl} \boldsymbol{j} \in H^{m-2}(\Omega)^3, \ \boldsymbol{j} \times \boldsymbol{n} \in H^{m-3/2}(\partial \Omega)^3.$$

Then, the solution  $\boldsymbol{u}$  of (11) belongs to  $H^m(\Omega)^3$ .

**Corollary 2.** Assume that  $\Omega$  is a rectangular parallelepiped of  $\mathbb{I}\mathbb{R}^3$ . Suppose that  $\mathbf{j} \in H(\mathbf{curl}; \Omega)$  and satisfies  $\mathbf{j} \times \mathbf{n} = \mathbf{0}$  on  $\partial\Omega$ . Then, the solution of the problem (11) belongs to  $H^2(\Omega)^3$ .

#### Proof of Theorem 1.

The proof of Theorem 1 is composed of four steps. In step 1 we introduce and study a new operator. Step 2 deals with its adjoint operator. In the third step we rewrite the problem in a Fredholm form. The Fredholm's alternative is finally applied in step 4.

#### STEP 1. AN OPERATOR.

Consider the closed subspace of  $H(\operatorname{div}; \Omega)$ 

$$X = \{ \boldsymbol{v} \in L^2(\Omega)^3 \mid \text{div } \boldsymbol{v} = 0 \text{ and } \langle \boldsymbol{v}.\boldsymbol{n}, 1 \rangle_{\Gamma_i} = 0, \ 1 \le i \le p \}.$$

$$(14)$$

For any vector function  $\boldsymbol{w}$  in X consider the problem: Find  $\boldsymbol{z} \in Y_T(\Omega)$  such that

$$\operatorname{curl} \boldsymbol{z} = \boldsymbol{w}, \quad \operatorname{div} \boldsymbol{z} = 0, \quad \forall i \in \{1, ..., m\} \quad \langle \boldsymbol{z} \cdot \boldsymbol{n}, 1 \rangle_{\Sigma_i} = 0.$$
(15)

**Lemma 3 ([1]).** The problem (15) has a unique solution  $z \in Y_T(\Omega)$  and there exists a constant C, depending only on  $\Omega$  such that

$$\|\boldsymbol{z}\|_{Y_T(\Omega)} \le C(\Omega) \|\boldsymbol{w}\|_{0,\Omega}.$$
(16)

In the sequel, we shall denote by  $\mathcal{K}$  the linear and continuous operator from X into X defined by

$$\mathcal{K}: \quad \boldsymbol{w} \in X \mapsto \boldsymbol{z} \in X \text{ solution of } (15),$$

Lemma 4.  $\mathcal{K}$  is a compact operator.

**Proof of Lemma 4** – For proving the compactness of  $\mathcal{K}$ , the following lemma turns to be useful. The reader can consult [5] (Theorem 3.1) for the proof.

**Lemma 5.** A function  $\boldsymbol{w}$  in  $L^2(\Omega)^3$  belongs to X if and only if there exists a vector function  $\boldsymbol{\varphi}$  in  $H^1(\Omega)^3$  satisfying  $\boldsymbol{w} = \operatorname{curl} \boldsymbol{\varphi}$ . Moreover, there exists a constant C depending only on  $\Omega$  such that for any  $\boldsymbol{\varphi} \in X$ , the corresponding vector function  $\boldsymbol{v}$  can be chosen such that

$$\|\boldsymbol{\varphi}\|_{H^1(\Omega)^3} \leq C \|\boldsymbol{w}\|_{0,\Omega}.$$

Now, let  $\boldsymbol{w}_n$  be a sequence in X such that  $\|\boldsymbol{w}_n\|_{0,\Omega} \leq C_1$ , where  $C_1$  is a constant not depending on n. Then, by virtue of Lemma 5, there exists a sequence  $\boldsymbol{\varphi}_n$  in  $H^1(\Omega)^3$  such that:  $\forall n$ ,  $\operatorname{curl} \boldsymbol{\varphi}_n = \boldsymbol{w}_n$ ,  $\|\boldsymbol{\varphi}_n\|_{1,\Omega} \leq C$ . Thus, there exists a subsequence still denoted by  $\boldsymbol{\varphi}_n$  which converges strongly in  $L^2(\Omega)^3$ .

Now, for any n, let  $s_n$  be the unique solution in  $H^1(\Omega)/\mathbb{I}$  of the Neumann problem

$$\forall \Psi \in H^1(\Omega) / I\!\!R, \quad \int_{\Omega} \nabla s_n . \nabla \Psi d\boldsymbol{x} = \int_{\Omega} \boldsymbol{\varphi}_n . \nabla \Psi d\boldsymbol{x}$$

and set  $\varphi_n^* = \tilde{\varphi}_n - \mathcal{P}_T \tilde{\varphi}_n$ , where  $\tilde{\varphi}_n = \varphi_n - \nabla \mathbf{s}_n$ . The sequence  $\tilde{\varphi}_n$  belongs to  $Y_T(\Omega)$ . Moreover, it is quite obvious that  $(s_n)_n$  converges in  $H^1(\Omega)^3/I\!\!R$ . Thus,  $\tilde{\varphi}_n$  converges in  $L^2(\Omega)^3$  to an element  $\tilde{\varphi}$  of  $Y_T(\Omega)$ . Moreover,  $\mathcal{P}_T \tilde{\varphi}_n$  converges also to  $\mathcal{P}_T \tilde{\varphi}$  since

$$\|\mathcal{P}_T ilde{oldsymbol{arphi}}_n\|_{0,\Omega} \leq \| ilde{oldsymbol{arphi}}_n\|_{0,\Omega}.$$

We conclude by observing that  $\tilde{\boldsymbol{\varphi}}_n^* = \boldsymbol{\mathcal{K}} \boldsymbol{w}_n$ .

#### STEP 2. THE ADJOINT OPERATOR.

We need the following lemma

**Lemma 6** ([1], [4]). A field  $\boldsymbol{v}$  in  $H(\operatorname{div}; \Omega)$  satisfies

div 
$$\boldsymbol{v} = 0$$
,  $\boldsymbol{v} \cdot \boldsymbol{n} = 0$  on  $\partial \Omega$ ,  $\langle \boldsymbol{v} \cdot \boldsymbol{n}, 1 \rangle_{\Sigma_i} = 0$ ,  $i = 1, ..., m$ ,

if and only if there exists a unique vector potential  $\mathbf{\Phi} \in Y_N(\Omega)$  such that

$$\operatorname{curl} \Phi = \boldsymbol{v}, \ \operatorname{div} \Phi = 0, \ \langle \boldsymbol{\Phi}.\boldsymbol{n}, 1 \rangle_{\Gamma_i} = 0, \ i = 1, ..., p.$$
(17)

In particular, this lemma implies that any vector field  $\boldsymbol{w}$  in  $L^2(\Omega)^3$  admits a unique decomposition into the form

$$\boldsymbol{w} = \overset{\circ}{\nabla} q + \operatorname{\mathbf{curl}} \boldsymbol{\Phi},\tag{18}$$

where  $\Phi$  belongs to  $Y_N(\Omega)$  and verifies div  $\Phi = 0$ ,  $\langle \Phi, \mathbf{n}, 1 \rangle_{\Gamma_i} = 0$ ,  $0 \le i \le p$ , while q belongs to the space  $\Theta = \{s \in H^1(\overset{\circ}{\Omega}) \mid [s]_{\Sigma_i} = constant, \ 1 \le i \le m\}$ , and is the unique solution in  $\Theta/\mathbb{R}$  of the quasi-Neumann problem

$$\forall p \in \Theta, \ \int_{\Omega}^{\circ} \nabla s. \nabla p \, d\boldsymbol{x} = \int_{\Omega} \boldsymbol{w}. \overset{\circ}{\nabla} p \, d\boldsymbol{x},$$

where  $\overset{\circ}{\nabla} p$  denotes the extension in  $L^2(\Omega)^3$  of the gradient  $\nabla p$  considered in the sense of distributions in  $\mathcal{D}'(\overset{\circ}{\Omega})$ . Moreover, the decomposition (18) is unique in  $(\Theta/\mathbb{I}R) \times Y_N(\Omega)$ . The operator  $\mathcal{K}^*$  is defined as follows

$$\mathcal{K}^*: \boldsymbol{w} \in L^2(\Omega)^3 \mapsto \boldsymbol{\Phi} \in X,$$

where  $\Phi$  is the unique fonction in the decomposition (18).  $\mathcal{K}^*$  is a continuous operator from  $L^2(\Omega)$  into X. The following lemma gives the relationship between  $\mathcal{K}$  and  $\mathcal{K}^*$ :

**Lemma 7.** The restriction of  $\mathcal{K}^*$  to X is the adjoint operator of  $\mathcal{K}$ .

STEP 3. A NEW FORMULATION OF THE PROBLEM

Let us now rewrite the problem (11) in terms of the operator  $\mathcal{K}$ .

**Proposition 2.** Let  $\mathbf{j} \in L^2(\Omega)^3$  and let  $\theta \in H^1_0(\Omega)$  be solution of the Dirichlet problem

$$\Delta \theta = \operatorname{div} \boldsymbol{j} \in H^{-1}(\Omega), \ \theta = 0 \ on \ \Gamma.$$

We set  $\mathbf{j}_1 = \mathbf{j} - \nabla \theta \in H(\text{div}; \Omega), \ \mathbf{j}^* = \mathbf{j}_1 - \mathcal{P}_N \mathbf{j}_1$ . Then,  $\mathbf{u}$  is solution of (11) iff  $\hat{\mathbf{u}} = \mathbf{u} - \mathcal{K}\mathbf{j}^*$  belongs to X and is solution of the problem

$$\hat{\mathbf{u}} - k^2 \mathcal{K} \mathcal{K}^* \hat{\mathbf{u}} = k^2 \mathcal{K} \mathcal{K}^* \mathcal{K} \boldsymbol{j}^*.$$
<sup>(19)</sup>

**Proof of Proposition 2**– Firstly, observe that if we set  $\boldsymbol{\ell} = \boldsymbol{j} - \boldsymbol{j}^* = \nabla \theta + \mathcal{P}_T \boldsymbol{j}_1$ , then  $\boldsymbol{\ell} \in H(\mathbf{curl}; \Omega)$  and  $\mathbf{curl} \boldsymbol{\ell} = \mathbf{0}, \ \boldsymbol{\ell} \times \boldsymbol{n} = \mathbf{0}$  on  $\partial \Omega$ .

1. Let  $\boldsymbol{u}$  solution of (11). Then, it stems from Proposition 1 that  $\boldsymbol{u}$  satisfies (8) and (9) and  $\mathcal{P}_T \boldsymbol{u} = \boldsymbol{0}$ . We set  $\hat{\boldsymbol{u}} = \boldsymbol{u} - \mathcal{K}\boldsymbol{j}^*$ . It follows immediately that  $\hat{\boldsymbol{u}}$  belongs to  $X \cap Y_T(\Omega)$  and

$$\operatorname{curl}\operatorname{curl}\hat{\mathbf{u}} - k^{2}\hat{\mathbf{u}} = k^{2}\mathcal{K}\boldsymbol{j}^{*}, \quad \mathcal{P}_{T}\hat{\mathbf{u}} = \boldsymbol{0}.$$
(20)

Thus,  $\operatorname{curl} \hat{\mathbf{u}}$  belongs to  $H(\operatorname{curl}; \Omega)$ . Furthermore, (11) yields

$$(\operatorname{\mathbf{curl}} \hat{\mathbf{u}}, \operatorname{\mathbf{curl}} \mathbf{v}) - k^2(\hat{\mathbf{u}}, \mathbf{v}) = k^2(\mathcal{K}\mathbf{j}^*, \mathbf{v}) + (\boldsymbol{\ell}, \operatorname{\mathbf{curl}} \mathbf{v}), \ \forall \mathbf{v} \in Y_T(\Omega).$$

Choosing  $\boldsymbol{v} \in H^1(\Omega)^3$  gives  $\langle \operatorname{\mathbf{curl}} \hat{\mathbf{u}} \times \boldsymbol{n}, \boldsymbol{v} \rangle_{\partial\Omega} = 0$ . Thus  $\operatorname{\mathbf{curl}} \hat{\mathbf{u}} \times \boldsymbol{n} = \mathbf{0}$  on  $\partial\Omega$ . It follows that  $\operatorname{\mathbf{curl}} \hat{\mathbf{u}} = \mathcal{K}^*(k^2 \hat{\mathbf{u}} + k^2 \mathcal{K} \boldsymbol{j}^*)$ . Moreover,  $\hat{\mathbf{u}} = k^2 \mathcal{K} \mathcal{K}^*(\hat{\mathbf{u}} + \mathcal{K} \boldsymbol{j}^*)$ .

2. Conversly, let  $\hat{\mathbf{u}}$  be solution of (19). Then,

$$\operatorname{curl} \hat{\mathbf{u}} = k^2 \operatorname{curl} \left( \mathcal{K} \mathcal{K}^* (\hat{\mathbf{u}} + \mathcal{K} \boldsymbol{j}^*) \right) = k^2 \mathcal{K}^* (\hat{\mathbf{u}} + \mathcal{K} \boldsymbol{j}^*).$$

Thus,

$$\begin{aligned} (\operatorname{\mathbf{curl}} \hat{\mathbf{u}}, \operatorname{\mathbf{curl}} \mathbf{v}) &= k^2(\mathcal{K}^*(\hat{\mathbf{u}} + \mathcal{K}\mathbf{j}^*), \operatorname{\mathbf{curl}} \mathbf{v}) = k^2(\operatorname{\mathbf{curl}}(\mathcal{K}^*(\hat{\mathbf{u}} + \mathcal{K}\mathbf{j}^*)), \mathbf{v}) \\ &= k^2(\hat{\mathbf{u}} + \mathcal{K}\mathbf{j}^*, \mathbf{v}) = k^2(\mathbf{u}, \mathbf{v}), \end{aligned}$$

since  $\operatorname{curl}(\mathcal{K}^*(\hat{\mathbf{u}} + \mathcal{K}\boldsymbol{j}^*)) = \hat{\mathbf{u}} + \mathcal{K}\boldsymbol{j}^*$ . Hence,  $\boldsymbol{u} = \hat{\mathbf{u}} + \mathcal{K}\boldsymbol{j}^* \in Y_T(\Omega)$  satisfies div  $\boldsymbol{u} = 0$ ,  $\mathcal{P}_T \boldsymbol{u} = \boldsymbol{0}$ , and  $(\operatorname{curl} \boldsymbol{u}, \operatorname{curl} \boldsymbol{v}) - k^2(\boldsymbol{u}, \boldsymbol{v}) = (\boldsymbol{j}^*, \operatorname{curl} \boldsymbol{v}) = (\boldsymbol{j}, \operatorname{curl} \boldsymbol{v})$ . Thus,  $\boldsymbol{u}$  is solution of (11) which is the desired result.  $\diamond$  Consider the operator  $T = \mathcal{K}\mathcal{K}^*$ . Then, T is obviously self-adjoint and is compact by virtue of Lemma 4. Let  $s_1^2 \ge s_2^2 \ge ... \ge s_n^2 \ge ...$  be the real countable sequence of its eigenvalues. The numbers  $s_1, s_2, ..., s_n, ...$  are indeed the *s*-values (or singular values) of the operator  $\mathcal{K}$  (namely, the eigenvalues of  $(\mathcal{K}\mathcal{K}^*)^{\frac{1}{2}}$ ). These numbers are in general different from the eigenvalues of  $\mathcal{K}$  since it is not a normal operator. The reader can consult [6] for more details about that question.

Now, applying the Fredholm alternative to the inhomogeneous problem (19) yields

- If  $\frac{1}{k} \notin \{s_1, s_2, ...\}$ , then the (19) admits one and only one solution.
- If  $\frac{1}{k} = s_m$  for some  $m \in \{1, 2, ..\}$ , then (19) is solvable iff the right hand side verifies  $(\mathcal{K}\mathcal{K}^*\mathcal{K}\boldsymbol{j}^*, \boldsymbol{\varphi}) = 0, \qquad (21)$

for any  $\varphi$  satisfying  $\mathcal{K}\mathcal{K}^*\varphi = s_k^2\varphi$ . If this solvability condition is fulfilled, then (19) has a unique solution up to eigenfunctions of T corresponding to the eigenvalue  $s_m^2$ . Let us rewrite this solvability condition (21) differently. We have

$$\begin{array}{ll} 0 & = & (\mathcal{K}\mathcal{K}^*\mathcal{K}\boldsymbol{j}^*,\boldsymbol{\varphi}) = (\boldsymbol{j}^*,\mathcal{K}^*\mathcal{K}\mathcal{K}^*\boldsymbol{\varphi}) = s_m^2(\boldsymbol{j}^*,\boldsymbol{\varphi}) = s_m^2(\boldsymbol{j}-\boldsymbol{\ell},\mathcal{K}^*\boldsymbol{\varphi}) \\ & = & s_m^4(\boldsymbol{j}-\boldsymbol{\ell},\operatorname{curl}\boldsymbol{\varphi}) = s_m^4(\boldsymbol{j},\operatorname{curl}\boldsymbol{\varphi}). \end{array}$$

since  $s_m^2 \operatorname{curl} \varphi = \operatorname{curl} (\mathcal{K}\mathcal{K}^*\varphi) = \mathcal{K}^*\varphi$  and  $\operatorname{curl} \ell = 0$ . This ends the proof of Theorem 1.  $\diamond$ 

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